FEDERAL REQUIREMENTS FOR CONSTRUCTION CONTRACTS

II. General Contractor’s Subcontractor/Vendor Report

III. Nondiscrimination and Equal Employment Opportunity
   A. Summary of Applicable Equal Opportunity Legislation and Executive Order
   B. The Equal Opportunity Clause
   C. Certification of Nonsegregated Facilities (General Contractor submits when the Contract is signed; Subcontractors submit before work begins.)
   D. Certification of Compliance with Affirmative Action Requirements for Equal Employment Opportunity (General Contractor submits when the Contract is signed; Subcontractors submit before work begins.)

IV. Minority and Women Owned Business Enterprise Requirements
   A. Policy with Respect to Minority and Women Owned Business Enterprises
   B. Minority and Women Owned Business Enterprise Identification Statement (General Contractor submits when the Contract is signed, if applicable; Subcontractors submit before beginning work, if applicable.)

V. Economic Opportunities for Low and Very Low Income Persons
   A. Policy with Respect to Provision of Economic Opportunities for Low and Very Low Income Persons
   B. Report on Provision of Economic Opportunities for Low and Very Low Income Persons (Contractor submits this report at end of the project.)

VI. Federal Labor Requirements
   A. Overview of Important Points
   C. Weekly Report of Subcontractors on Job Site (Contractor submit weekly.)
   D. Weekly Certified Payroll Form (Contractor and all subcontractors submit weekly.)
   E. Authorization to Sign Certified Payroll Forms
   F. Request for Approval of Wage Rate(s) for Additional Classification(s)
   G. The Wage Decision which Applies to this Project

*Must be submitted for General Contractor when Contract is signed.*

Note: Bonding requirements stated elsewhere. Revised May 2007
GENERAL FEDERAL PROVISIONS
FOR
CONSTRUCTION CONTRACTS

The following general federal provisions are a part of this Contract and do not require submittal of additional documentation, forms, reports, or certifications, except in unusual circumstances.

1. Interest of Members of Local Public Agency. No member of the governing body of Arundel Community Development Services, Inc. (ACDS) and no other officer, employee, or agent of ACDS who exercises any functions or responsibilities in connection with the approval or review or carrying out of the project or program to which this Contract pertains shall have any personal interest, direct or indirect, in this Contract.

2. Interest of Local Public Officials. No member of the governing body of Anne Arundel County, Maryland (referred to herein as “the County”) and no other public official of the County who exercises any functions or responsibilities in the review or approval or the carrying out of the project or program to which this Contract pertains shall have any personal interest, direct or indirect, in this Contract.

3. Interest of Certain Federal Officials. No member of or delegate to the Congress of the United States, and no Resident Commissioner, shall be admitted to any share in or part of this Contract or to any benefit to arise therefrom.

4. Interest of Contractor. The Contractor covenants that he/she presently has no interest and shall not acquire any interest, direct or indirect, in the project area or any parcels therein, or any other interest which would conflict with the performance of this contract and covenants that no person having any such interest shall be employed.

5. Subcontracts and Other Contracts. The Contractor will certify that all contracts with applicants, recipients, subcontractors, and consultants contain the applicable federal requirements.

6. Access to Records. ACDS, the County, HUD, the Comptroller General of the United States, or any of their duly authorized representatives shall have access to any books, documents, papers and records of the Contractor which are directly pertinent to this Contract for the purpose of making audit, examination, excerpts, and transcriptions.

7. Retention of Records. All required records pertinent to this Contract shall be retained by the Contractor for four years after final payment is made. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the four year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular four year period, which ever is later.
8. **Accessibility.** Every building or facility (other than a privately-owned residential structure) designed, constructed, or altered as a result of this Contract and made available through federal financial assistance, shall comply with the requirements of Section 504 of the Rehabilitation Act of 1973, 24 CFR Part 8 Subpart A, Section 8.4 of the Fair Housing Amendments Act of 1988, and Section 303 of the Americans with Disabilities Act of 1990.

9. **Lead-Based Paint Requirement.** The Contractor and all subcontractors, vendors, and consultants shall comply with 24 CFR 35: Prohibition of Use of Lead-Based Paint and Elimination of Lead-Based Paint Hazard, when applicable to projects or programs resulting from this Contract.

10. **Clean Air and Water Pollution.** The Contractor and all subcontractors, vendors, and consultants shall comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act of 1970 (42 U.S.C. et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 125 et. seq.), as amended, when applicable to projects or programs resulting from this Contract.

11. **Energy Conservation.** The Contractor and all subcontractors, vendors, and consultants shall comply with the mandatory standards and policies relating to energy efficiency which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (Pub. L. 94-163, 89 Stat. 871).

12. **Eligibility of Contractor and Exclusion of Entities Debarred from Federally Funded Contracts.** The Contractor certifies that neither the Contractor nor any person or firm who has an interest in the Contractor’s firm is ineligible to be awarded contracts utilizing federal funds. The Contractor shall refrain from entering into any contract or contract modification with an applicant, recipient, contractor, subcontractor, vendor, or consultant debarred from contracts funded in whole or in part with federal funds or from participation in HUD programs.

13. **Lobbying Certification.** In accordance with the Housing and Community Development Act of 1974, as amended, and with 24 CFR 570.303 of the Community Development Block Grant regulations, the Contractor certifies that:

   a. no federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal loan, the entering into of any cooperative contract, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative contract;

   b. if any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence any officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an
employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative contract, it will complete and submit Standard Form LLL, “Disclosure Form to Report Lobbying,” in accordance with its instruction; and

c. the Contractor will require that this language will be included in the award of contracts to all subcontractors, suppliers, and vendors related to this Contract.

14. Submittal of Certifications, Forms, and Reports. The Contractor must complete and, if applicable, require all subcontractors to complete all certifications, forms, and reports specified in this Contract in a manner acceptable to ACDS. Interim and/or final payments may be withheld by ACDS pending receipt and approval by ACDS of these certifications, forms, and reports.

15. Drug-Free Work Place. The Contractor will provide a drug-free work place by:

a. publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor’s work place and specifying actions that will be taken against employees for violation of such prohibition;

b. establishing an ongoing drug-free awareness program to inform employees about

(1) the dangers of drug abuse in the work place,
(2) the Contractor’s policy of maintaining a drug-free work place,
(3) any drug counseling, rehabilitation, and employee assistance programs that may be available, and
(4) the penalties that may be imposed upon employees for drug abuse violations occurring in the work place;

c. making it a requirement that each employee to be engaged in the performance of the scope of services be given a copy of the statement required by Item 15.a.;

d. notifying the employee in the statement required by Item 15.a. that, as a condition of employment under the scope of services, the employee will

(1) abide by the terms of the statement, and
(2) notify the employer in writing of his/her conviction for a violation of a criminal drug statute occurring in the work place no later than five calendar days after such conviction;

e. notifying ACDS, in writing, within 10 days after receiving notice under Item 15.d.(2) from an employee or otherwise receiving actual notice of such conviction and providing notice, including position title, to every contractor, employer, or subcontractor on whose activity the convicted employee was working, unless the Contractor has designated a central point for the receipt of such notices;
f. within 30 calendar days of receiving notice under Item 15.d(2), with respect to any employee who is so convicted, the Contractor shall

(1) take appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended, or
(2) require such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state, or local health, law enforcement, or other appropriate agency; and

g. making a good faith effort to continue to maintain a drug-free work place through implementation of Items 15.a. through 15.f.

As part of the commitment to maintain a drug-free work place, the Contractor must insert in the following space the site(s) for the performance of work done in connection with this Contract.

Place of Performance ________________________________________________
Street Address ____________________________________________________
City/State/Zip Code ________________________________________________
The Subcontractor/Vendor Report must be submitted by the General Contractor before work begins and with the FINAL payroll. As new subcontractors are added during the course of the project, updated Subcontractor/Vendor Reports must be submitted. These reports must also include second-tier subcontractors.
SUBCONTRACTOR/VENDOR REPORT

The Subcontractor/Vendor Report is a list of the subcontractors working on the project as well as providers of professional and other services. These reports must be submitted by the General Contractor before work begins and at the end of the project before final payments are made. As new subcontractors are added during the course of the project, updated interim Subcontractor/Vendor Reports should be submitted. The Subcontractor/Vendor Reports should also include second-tier subcontractors. It is the General Contractor’s responsibility to obtain the necessary information from the subcontractors. Instead of using the chart, the General Contractor has the option of preparing these reports in another format, provided that all the required information is included. It is requested that the report be divided into two separate parts: one part listing companies doing building trades work; and the other part listing companies doing non-construction work (such as engineering, surveying, or cleaning) related to the project.
### GENERAL CONTRACTOR’S SUBCONTRACTOR/VENDOR REPORT

<table>
<thead>
<tr>
<th>Name/Address/Telephone</th>
<th>Check if Second or Third Tier Sub-Contractor*</th>
<th>Tax I.D.</th>
<th>Dollar Amount</th>
<th>Trade, Construction Element, or Service</th>
<th>If MBE/WBE, Specify Group(s)**</th>
<th>Check if Section 3</th>
</tr>
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<tbody>
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*If yes, state here (or on an attached page if necessary) the name of the higher tier subcontractor who has contracted with this lower tier subcontractor:__________________________

**African American, Hispanic, Native American, Asian/Pacific Islander, Eskimo/Aleut; Female
Nondiscrimination
and Equal Employment Opportunity
NONDISCRIMINATION AND
EQUAL EMPLOYMENT OPPORTUNITY

OVERVIEW

In accordance with Executive Order 11246 of September 24, 1965, as amended, and as implemented by Department of Labor regulations 41 CFR Chapter 60, the Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Equal Opportunity Clause, the Certification of Nonsegregated Facilities, and the Certification of Compliance with Affirmative Action Requirements for Equal Employment Opportunity which are included in this section are mandated by this Executive Order and the implementing regulations.

In accordance with Title VI of the Civil Rights Act of 1964, there shall be no discrimination on the basis of race, color, or national origin in activities covered by this Contract.

In accordance with Section 109 of Title I of the Housing and Community Development Act of 1974, as amended, no person shall be excluded from participation in, be denied the benefits of, or be subjected to discrimination in activities covered by this Contract, including employment, on the ground of race, color, national origin, sex, or religion, either directly or through contractual, licensing, or other arrangements.

Section 109 which also applies to this Contract, prohibits discrimination on the basis of age under the Age Discrimination Act of 1975.

Section 109 also prohibits discrimination with respect to an otherwise qualified person with disabilities, as provided in Section 504 of the Rehabilitation Act of 1973. In accordance with the Americans with Disabilities Act of 1990 and Section 504 of the Rehabilitation Act of 1973, there shall be no discrimination against persons with disabilities regarding employment or other economic opportunities resulting from this Contract.

Inclusion of the equal opportunity clauses in this Contract are required by Executive Order 11246 of September 24, 1965, as amended, and as implemented by Department of Labor regulations 41 CFR Chapter 60. The equal opportunity clauses contain the following important provisions:

- nondiscrimination in employment and treatment during employment,
- notices to be posted at the job site,
- equal employment opportunity statement in solicitation and advertising,
- notification to labor unions,
- agreement to comply with all provisions of Executive Order 11246,
- agreement to furnish required reports,
- agreement to permit access to records,
- sanctions and remedies for non-compliance, and
- inclusion of clause in all subcontracts and obligation of Contractor to help enforce it.
CONTRACT REQUIREMENTS

1. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include but not be limited (i) employment, upgrading, demotion, or transfer; (ii) recruitment or recruitment advertising; (iii) layoff or termination; (iv) rates of pay or other forms of compensation; and (v) selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provision of this nondiscrimination clause.

2. The Contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor during the performance of this contract, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.

3. The Contractor will send to each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor unions or worker’s representatives of the contractor’s commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

4. During the performance of this contract, the Contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

5. The Contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

6. In the event of the Contractor’s non-compliance with the nondiscrimination clauses of the contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

7. The Contractor will include the equal opportunity clause and all the contract requirements defined herein in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive
Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for non-compliance; provided, however, that in the event a Contractor becomes involved in or is threatened with litigation with a subcontractor or vendor as a result of such direction by the administering agency, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.
CERTIFICATION OF NONSEGREGATED FACILITIES

General Contractor submits this form when the Contract is signed. Subcontractors must submit this form before beginning work on the project.

Company Name: ____________________________________________________________
Company Address: __________________________________________________________

Company Telephone Number: ___________________________ Date: ________________
Company Tax Identification Number: __________________________________________
Name of Project: ____________________________________________________________

Is Company (check one) ☐ General Contractor or ☐ Subcontractor on this project?

As used in this certification, the term “segregated facilities” means any waiting room, work area, rest rooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, or national origin because of habit, local custom or otherwise.

The Contractor certifies that no segregated facilities are or will be maintained or provided for the Contractor’s employees at any of the Contractor’s establishments, and that the Contractor’s employees do not and will not be permitted to perform their services at any location under the Contractor’s control where segregated facilities are maintained.

The Contractor further agrees (except if the Contractor has obtained identical certifications from proposed Subcontractors for specific time periods) that, prior to entering into subcontracts which exceed $10,000 and are not exempt from the Equal Opportunity Clause, the Contractor will

(1) obtain identical certifications from proposed Subcontractors;
(2) retain the certification in its files; and
(3) forward the following notice to proposed Subcontractors (except if the proposed Subcontractors have submitted identical certifications for specific time periods):

“Notice to Prospective Subcontractors of Requirement for Certifications of Nonsegregated Facilities: A Certification of Nonsegregated facilities must be submitted before the award of a subcontract exceeding $10,000 which is not exempt from the provisions of the Equal Opportunity clause of the prime contract. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e. quarterly, semiannually, or annually).”

The Contractor agrees that a breach of this certification or a breach of a Subcontractor’s identical certification is a violation of the Equal Opportunity Clause in the Contract. The penalty for making false statements in these certifications is prescribed in 18 U.S.C. 1001.

Signature of Officer or Owner of Company: ______________________________________
Printed Name and Title of Signatory Above: ______________________________________
CERTIFICATION OF COMPLIANCE WITH AFFIRMATIVE ACTION REQUIREMENTS FOR EQUAL EMPLOYMENT OPPORTUNITY

General Contractor submits this form when the Contract is signed. Subcontractors must submit this form before work begins.

Company Name: ________________________________

Company Address: ________________________________

Company Telephone Number: ____________________________ Date: ____________________________

Company Tax Identification Number: ________________________________

Name of Project: ____________________________________________

Signature of Officer or Owner of Company: ________________________________

Printed Name and Title of Signatory Above: ________________________________

Check YES or NO whether the following statement applies to this company. If YES, complete the remainder of this form. If NO, do not answer the remaining questions.

The company has more than 50 employees AND has or is bidding for a contract or purchase order amounting to $50,000 or more for the project designated above.

☐ Yes ☐ No

Executive Order 11246 and 41 CFR part 60 require that certain companies involved in federally assisted construction projects develop and keep on file at each of their establishments an Affirmative Action Plan for achieving equal employment opportunity. Progress reports on utilization of minorities are to be completed annually on or before March 31. Previously, the reports were to be submitted to the Equal Employment Opportunity Commission or the administering agency within 30 days after the award of the contract or subcontract unless such a report was previously filed within 12 months preceding the date of the award. The requirement now is that these progress reports be kept on file at the company. Bidders and subcontractors who meet the criteria above must also answer the following questions.

The company has developed and has on file at each establishment affirmative action programs as required by 41 CFR part 60.

☐ Yes ☐ No

The company has participated in a previous contract or subcontract subject to the equal opportunity clause requirements for affirmative action programs.

☐ Yes ☐ No

The company has filed all reports as required by 41 CFR part 60.

☐ Yes ☐ No
POLICY WITH RESPECT TO
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
(MBEs/WBEs)

To the maximum extent feasible, opportunities for contracting in connection with this project shall be given to MBEs/WBEs. Upon acceptance of a bid by ACDS, if any portion of the Contract is subcontracted, the Contractor will be expected to solicit MBE/WBE firms to bid on various aspects of the Contract. Resources available to assist the Contractor in finding or notifying MBE/WBE firms include, but are not limited to the following.

The County’s MBE Program:

MBE Coordinator, Office of the Purchasing Agent
2660 Riva Road, Third Floor
Annapolis, MD 21401  (410) 222-7667

Various MBE/WBE directories available for use by the Contractors from 8:30 a.m. to 5:00 p.m., Monday through Friday, at:

Arundel Community Development Services, Inc.
2666 Riva Road, Suite 210
Annapolis, MD 21401  (410) 222-7600

A list of MBE/DBE certified by the Maryland Department of Transportation may be accessed online by visiting: www.mdot.state.md.us.

Minority trade associations:

Maryland/Washington Minority Contractors Association
1107 North Point Blvd., Suite 227  website: www.mwmca.org
P.O. Box 2671  e-mail  wrf@mwmca.org
Baltimore, MD 21224  (410) 282-6101; Fax (410) 282-6102

National Association of Minority Contractors
666 11th Street NW, Suite 520  e-mail  national@namcline.org
Washington, D.C.  20001  (202) 347-8259

For each MBE/WBE with whom a Contractor enters into a contract, the MBE/WBE Identification Statement shall be completed. This form was designed by ACDS to obtain information which it must report to the federal government on behalf of Anne Arundel County regarding MBE/WBE participation in certain HUD programs. It is the only MBE/WBE certification required for this project by ACDS and by HUD. However, some projects which are partially financed by the State of Maryland may require a separate certification of a firm by the Maryland Department of Transportation in order for the firm to count toward meeting the State’s minority business participation goals for the project. If State funding of the project requires Maryland Department of Transportation certification and any additional documentation, those requirements will be stated elsewhere in the Contract.
MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES
IDENTIFICATION STATEMENT

If applicable, this form is to be submitted by General Contractor, Consultant, or Service Provider as part of the Contract or Agreement, and by Subcontractors before they begin work.

Name of Company/Organization: ____________________________________________
Address of Company/Organization: __________________________________________
Company/Organization Telephone Number:____________________________________
Tax Identification Number:___________________________________________________
Name of Project:_____________________________________________________________

1. Indicate if, on this project, you are a
   □ general contractor
   □ subcontractor Specify trade or service:____________________________________
   □ supplier/vendor Specify product or service:_______________________________

2. When was the company/organization established?____________________________

3. State the name of each owner of the enterprise, the minority group to which he/she belongs (if applicable), his/her percentage of ownership, and his/her type of investment.

<table>
<thead>
<tr>
<th>Name</th>
<th>Minority Group*(if applicable)</th>
<th>Percent Ownership</th>
<th>Type of Investment: (Check all that apply)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td>Financial</td>
</tr>
</tbody>
</table>

*Female, African American, Hispanic, Native American, Asian/Pacific Islander, Eskimo/Aleut

4. The above percentages of ownership have existed since ________________________.

Page 17
5. Does any owner of your enterprise who is not a minority group member also have an ownership interest in any other firm working on this project? □ Yes □ No
(If yes, state the name of each such owner and the names of the firms in which such ownership interests exists.)

__________________________________________________________________________________________

__________________________________________________________________________________________

6. Has your enterprise entered into any agreement with any of the firms named in Question #5? □ Yes □ No
(If yes, describe such agreements.)

__________________________________________________________________________________________

__________________________________________________________________________________________

7. Are any of the minority owners listed in Question #3 or any minority group member officers of your business a former or current employee of any other firm working on the project? □ Yes □ No
(If yes, state the name(s) of the individual(s), name(s) of the employer(s), date(s) of employment with the other firm(s), and responsibilities in such employment.)

__________________________________________________________________________________________

__________________________________________________________________________________________

8. Has your enterprise subcontracted with other firms any work to be performed on this project? □ Yes □ No
(If yes, state the percentage of work subcontracted and the nature of such work.)

__________________________________________________________________________________________

__________________________________________________________________________________________

I certify that the foregoing information is correct and complete.

Printed or Typed Name of Owner or Officer

Signature of Owner or Officer

Title of Owner or Officer Above

Date
Economic Opportunities for Low Income Persons
POLICY WITH RESPECT TO PROVISION OF ECONOMIC OPPORTUNITIES FOR LOW INCOME PERSONS

It is the policy of ACDS to ensure that economic opportunities generated by HUD financial assistance shall, to the greatest extent feasible, and consistent with existing federal, State, and local laws, be directed to low income persons who reside in the Baltimore Metropolitan Area, with priority for Anne Arundel County residents.

Economic opportunities include training, employment, contracting or subcontracting, and purchasing. Applying the policy means attempting to hire low and very low income persons and contract with or purchase from firms which are owned by or which utilize low income persons.

Low income person means a person who comes from a household where the family income does not exceed 80 percent of the median family income for the metropolitan statistical area, with adjustments based on family size as outlined below. A low income business is where the business is 51 percent owned by a low income person; or a business that employs low income persons for at least 30 percent of its full time workforce; or a business that utilizes low income businesses for at least 25 percent of the dollar value of all its subcontracts.

To monitor success in meeting the objectives of the policy, ACDS requires submittal of the Report on Provision of Economic Opportunities for Low Income Persons at the end of the project, along with the final invoice.

80 PERCENT OF MEDIAN FAMILY INCOME BY FAMILY SIZE FOR THE BALTIMORE METROPOLITAN STATISTICAL AREA

(May 2007)

<table>
<thead>
<tr>
<th>Family Size</th>
<th>Annual Income</th>
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<tbody>
<tr>
<td>1 Person</td>
<td>$41,300</td>
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<tr>
<td>2 Persons</td>
<td>$47,200</td>
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<tr>
<td>3 Persons</td>
<td>$53,100</td>
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<tr>
<td>4 Persons</td>
<td>$59,000</td>
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<tr>
<td>5 Persons</td>
<td>$63,700</td>
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<tr>
<td>6 Persons</td>
<td>$68,450</td>
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<tr>
<td>7 Persons</td>
<td>$73,150</td>
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<tr>
<td>8 Persons</td>
<td>$77,900</td>
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</tbody>
</table>
REPORT ON PROVISION OF ECONOMIC OPPORTUNITIES FOR LOW INCOME PERSONS

The General Contractor, Consultant, or Service Provider submit this report at end of project, along with their final invoice.

Name of Company/Organization: ____________________________________________

Address of Company/Organization: __________________________________________

Company Telephone Number: ___________________________ Date: ________________

Company Tax Identification Number: _________________________________________

Name of Project: __________________________________________________________

Please check YES or NO by each of the following statements to indicate whether or not it applies to your company/organization.

YES   NO

☐ ☐ The company/organization is 51 percent or more owned by low income residents of the Baltimore Metropolitan Area.

☐ ☐ At least 30 percent of the permanent full time employees are low income residents of the Baltimore Metropolitan Area or were so within 3 years of the date they were hired by the company/organization.

☐ ☐ For at least 25 percent of the dollar value of all its subcontracts, the company/organization subcontracts with firms that are either 51 percent or more owned by low income residents of the Baltimore Metropolitan Area or which employ such residents for at least 30 percent of their permanent full time workforce.

Please answer the following questions about new hires and trainees.

How many additional employees did your company/organization hire or train to carry out this project?

Total number new hires and trainees: __________

Number by type of position:

___ Professional/Supervisory  ___ Service
___ Office/Clerical  ___ Maintenance
___ Construction  ___ Other
How many of these new hires or trainees would be considered low income persons?

Total number low income persons: __________

Number low income persons by type of position:

_____ Professional/Supervisory  _____ Service
_____ Office/Clerical       _____ Maintenance
_____ Construction       _____ Other

How many of the low income new hires or trainees are residents of the Baltimore Metropolitan Area?

Total number of low income persons from metro area: __________

Number low income persons from metro area by type of position:

_____ Professional/Supervisory  _____ Service
_____ Office/Clerical       _____ Maintenance
_____ Construction       _____ Other

Please answer the following questions about your subcontractors and vendors.

How many subcontractors or vendors did your company/organization utilize to carry out this project? _________

What was the approximate total dollar value of those contracts? __________________________

How many of your subcontractors or vendors were either owned by low income persons from the Baltimore Metropolitan Area or employed such persons as 30 percent or more of their work force? _________

What was the approximate total dollar value of those contracts? __________________________

Signature:

Signature of Officer or Owner:  ________________________________

Printed Name of Signatory Above:  ________________________________

Title of Signatory:  ________________________________
Federal Labor Requirements
Federal Labor Standards apply to this Contract. These labor standards include Davis-Bacon and Related Acts. In brief, this means:

- Wage rates paid to employees of the Contractor and employees of all subcontractors must be no less than those in the Department of Labor “Wage Decision” for this project.

- The minimum wage rate for a trade not on the “Wage Decision” must be established in conjunction with the Corporation and HUD based on Department of Labor regulations.

- Employees must be paid “time and a half” for hours which exceed 40 in any one week, as mandated by the Contract Work Hours and Safety Standards Act (40 U.S.C. 327 - 333).

- Salaries of all employees of the Contractor and subcontractors under this Contract shall be paid unconditionally and not less often than once a week without deduction or rebate on any account except only such payroll deductions as are mandatory by law or permitted by the applicable regulations issued by the Secretary of Labor pursuant to the Copeland Anti-Kickback Act of June 13, 1934 (40 U.S.C. 276c). The Copeland Act makes it a criminal offense to induce any person employed under this Contract to give up any part of the compensation to which he or she is entitled.

- Weekly Certified Payroll Reports must be submitted by the Contractor and all subcontractors, as mandated by the Copeland Act.

- A “working subcontractor” who performs trade work at the project site and has employees working at the site must submit weekly certified payroll reports on which he includes the hours he worked on the project. However, he may identify himself on those reports as “owner” and omit the wage rate he pays himself.

\[A \text{ “working subcontractor” who performs trade work at the project site and has no employees working at the site must be included on the General Contractor’s weekly certified payroll reports. His trade, wage rate, and hours must be included. The terms “1099” or “subcontractor” may be used to indicate why no deductions are taken. Regardless of the bid amount of the subcontract, this subcontractor must actually be paid weekly and at a wage rate no less than that established by the Wage Decision or accepted additional classification procedures. If the subcontract provides for additional compensation, the amounts owed shall be paid by the General Contractor periodically and/or at the end of the project in response to invoices submitted by the subcontractor.}\]

- Contractors must use the “Wage Decision” in effect when the bids are opened for this project. The most recent “Wage Decision” available is included in the bid documents. If this “Wage Decision” is modified by the Department of Labor prior to the opening of bids, the new rates will apply if the modification occurs at a time when it is still feasible for the Corporation to notify potential bidders.
U.S. Department of Housing and Urban Development
Office of Labor Relations

Applicability
The Project or Program to which the construction work covered by this contract pertains is being assisted by the United States of America and the following Federal Labor Standards Provisions are included in this Contract pursuant to the provisions applicable to such Federal assistance.

A. 1. (i) Minimum Wages. All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under Section (b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of 29 CFR 5.5(a)(1)(iv); also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs, which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period.

Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer’s payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conforming to 29 CFR 5.5(a)(1)(ii) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible, place where it can be easily seen by the workers.

(ii) (a) Any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. HUD shall approve an additional classification in which work is performed. The wage determination (including any additional classification and wage rates contained in the wage determination. HUD or its designee agrees on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by HUD or its designee to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB control number 1215-0140.)

(c) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and HUD or its designee do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), HUD or its designee shall refer the questions, including the views of all interested parties and the recommendation of HUD or its designee, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and advise HUD or its designee or will notify HUD or its designee within the 30-day period that additional time is necessary. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)

(d) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(ii)(b) or (c) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program. Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program. (Approved by the Office of Management and Budget under OMB Control Number 1215-0140.)

2. Withholding. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other Federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic,
including any apprentice, trainee or helper, employed or working on the site of the work, all or part of the wages required
by the contract, HUD or its designee may, after written notice to the contractor, sponsor, applicant, or owner, take such action as
may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased. HUD or its designee may, after written notice to the contractor, disburse such amounts withheld for and on
account of the contractor or subcontractor to the respective employees to whom they are due. The Comptroller General
shall make such disbursements in the case of direct Davis-Bacon Act contracts.

3. (i) Payrolls and basic records. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in Section I(b)(2)(B) of the Davis-bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5 (a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in Section I(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs. (Approved by the Office of Management and Budget under OMB Control Numbers 1215-0140 and 1215-0017.)

(ii) (a) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to HUD or its designee if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the payrolls to the applicant sponsor, or owner, as the case may be, for transmission to HUD or its designee. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i). This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, DC 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. (Approved by the Office of Management and Budget under OMB Control Number 1215-0149.)

(b) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) That the payroll for the payroll period contains the information required to be maintained under 29 CFR 5.5 (a)(3)(i) and that such information is correct and complete;

(2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in 29 CFR Part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(c) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph A.3.(ii)(b).

(d) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.

(iii) The contractor or subcontractor shall make the records required under subparagraph A.3.(i) available for inspection, copying, or transcription by authorized representatives of HUD or its designee or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, HUD or its designee may, after written notice to the contractor, sponsor, applicant or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and Trainees.

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where
a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman’s hourly rate) specified in the contractor’s or subcontractor’s registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice’s level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed unless an acceptable program is approved.


5. Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR Part 3 which are incorporated by reference in this contract

6. Subcontracts. The contractor or subcontractor will insert in any subcontracts the clauses contained in subparagraphs 1 through 11 of this paragraph A and such other clauses as HUD or its designee may by appropriate instructions require, and a copy of the applicable prevailing wage decision, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this paragraph.

7. Contract termination; debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8. Compliance with Davis-Bacon and Related Act Requirements.

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract

9. Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and HUD or its designee, the U.S. Department of Labor, or the employees or their representatives.

10. (i) Certification of Eligibility. By entering into this contract the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor’s firm is a person or firm ineligible to be awarded Government contracts by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.

(ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of Section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1) or to be awarded HUD contracts or participate in HUD programs pursuant to 24 CFR Part 24.

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001. Additionally, U.S. Criminal Code, Section 1 01 0, Title 18, U.S.C., “Federal Housing Administration transactions”, provides in part: "Whoever, for the purpose of . . . influencing in any way the action of such Administration..... makes, utters or publishes any statement knowing the same to be false..... shall be fined not more than $5,000 or imprisoned not more than two years, or both."

11. Complaints, Proceedings, or Testimony by Employees. No laborer or mechanic to whom the wage, salary, or other labor standards provisions of this Contract are applicable shall be discharged or in any other manner discriminated against by the Contractor or any subcontractor because such employee has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or relating to the labor standards applicable under this Contract to his employer.

B. Contract Work Hours and Safety Standards Act. The provisions of this paragraph B are applicable only where the amount of the prime contract exceeds $100,000. As used in this paragraph, the terms “laborers” and “mechanics” include watchmen and guards.
(1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in subparagraph (1) of this paragraph, the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in subparagraph (1) of this paragraph, in the sum of $10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in subparagraph (1) of this paragraph.

(3) Withholding for unpaid wages and liquidated damages. HUD or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contract, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act which is held by the same prime contractor such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (2) of this paragraph.

(4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (1) through (4) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (1) through (4) of this paragraph.

C. Health and Safety. The provisions of this paragraph C are applicable only where the amount of the prime contract exceeds $100,000.

(1) No laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his health and safety as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation.

(2) The Contractor shall comply with all regulations issued by the Secretary of Labor pursuant to Title 29 Part 1926 and failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act, 40 USC 3701 et seq.

(3) The Contractor shall include the provisions of this paragraph in every subcontract so that such provisions will be binding on each subcontractor. The Contractor shall take such action with respect to any subcontract as the Secretary of Housing and Urban Development or the Secretary of Labor shall direct as a means of enforcing such provisions.
WEEKLY REPORT OF SUBCONTRACTORS ON JOB SITE
(General Contractor submit weekly with Certified Payrolls.)

Project Name _______________________________________________ Week Ending __________________________
General Contractor __________________________________________
Person Preparing Report ________________________________________

Check box for each day that Contractor or Subcontractor was present at the job site during the week.

<table>
<thead>
<tr>
<th>Company (List General Contractor First)</th>
<th>Mon</th>
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<td>PAYROLL NO.</td>
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<td>PROJECT AND LOCATION</td>
<td>PROJECT OR CONTRACT NO.</td>
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<tr>
<th>(1) NAME, ADDRESS, AND SOCIAL SECURITY NUMBER OF EMPLOYEES</th>
<th>(2) WORK CLASSIFICATION</th>
<th>(3) HOURS WORKED EACH DAY</th>
<th>(4) DAY AND DATE</th>
<th>(5) HOURS</th>
<th>(6) RATE OF PAY</th>
<th>(7) GROSS AMOUNT EARNED</th>
<th>(8) DEDUCTIONS</th>
<th>(9) NET WAGES PAID FOR WEEK</th>
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The Copeland Act (40 U.S.C. 3145) requires contractors and subcontractors performing work on Federally financed or assisted construction contracts to "furnish weekly a statement with respect to the wages paid each employee during the preceding week." U.S. Department of Labor (DOL) Regulations 29 CFR Part 5.5(e)(3)(i) require contractors to submit weekly a copy of all payrolls to the Federal agency contracting for or financing the construction project, accompanied by a signed "Statement of Compliance" indicating that the payrolls are correct and complete and that each laborer or mechanic has been paid not less than the proper Davis-Bacon prevailing wage rate for the work performed. Compliance with these requirements is mandatory. DOL and federal contracting agencies receiving this information review the information to determine that employees have received legally required wages and fringe benefits.

We estimate that it will take an average of 56 minutes to complete this collection of information, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have any comments regarding these estimates or any other aspect of this collection of information, including suggestions for reducing this burden, send them to the Administrator, Wage and Hour Division, ESA, U.S. Department of Labor, Room S5602, 200 Constitution Avenue, N.W., Washington, D.C. 20210.
(b) WHERE FRINGE BENEFITS ARE PAID IN CASH

☐ – Each laborer or mechanic listed in the above referenced payroll has been paid, as indicated on the payroll, an amount not less than the sum of the applicable basic hourly wage rate plus the amount of the required fringe benefits as listed in the contract, except as noted in Section 4(c) below.

(c) EXCEPTIONS

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<th>EXCEPTION (CRAFT)</th>
<th>EXPLANATION</th>
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REMARKS:

NAME AND TITLE    SIGNATURE

THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STATEMENTS MAY SUBJECT THE CONTRACTOR OR SUBCONTRACTOR TO CIVIL OR CRIMINAL PROSECUTION. SEE SECTION 1501 OF TITLE 18 AND SECTION 357 OF TITLE 31 OF THE UNITED STATES CODE.
AUTHORIZATION TO SIGN CERTIFIED PAYROLL FORMS

PROJECT:  

I hereby authorize the following person(s) to sign Certified Payroll Forms for this project.

<table>
<thead>
<tr>
<th>NAME OF PERSON AUTHORIZED TO SIGN CERTIFIED PAYROLL FORMS</th>
<th>TITLE OF PERSON AUTHORIZED TO SIGN CERTIFIED PAYROLL FORMS</th>
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COMPANY:  

SIGNATURE OF OFFICER OR OWNER:  

PRINTED NAME OF SIGNATORY:  

TITLE OF SIGNATORY:  

DATE:  

Page 32
REQUEST FOR APPROVAL OF WAGE RATE(S)
FOR
ADDITIONAL CLASSIFICATION(S)

PROJECT: ____________________________________________

The company below will employ one or more workers to perform trades which are not listed in
the wage decision which was issued for this project. The trades and the wages and fringe
benefits which will be paid for the work on this project are listed below for approval by the U.S.
Department of Labor. The employees will receive no less than the wages plus the fringe benefits
or the wages plus the value of the fringe benefits in cash. The amounts are no less than the wage
rates for the lowest paid skill on the wage determination and are acceptable to the employees
involved.

<table>
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<tr>
<th>TRADE (ADDITIONAL CLASSIFICATION)</th>
<th>WAGE RATE</th>
<th>FRINGE BENEFIT CASH VALUE</th>
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COMPANY: ____________________________________________

SIGNATURE OF OFFICER OR OWNER: __________________________

PRINTED NAME OF SIGNATORY: _______________________________

TITLE OF SIGNATORY: ___________________________________

DATE: __________________________